

DOCKET NO.: 201009/131 (99-0606) EXPRESS MAIL NO: EL709322695US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Rule 53(b) UTILITY PATENT APPLICATION TRANSMITTAL FORM

(only for new non-provisional applications under 37 CFR 1.53(b))





Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 53 pages) of:

Inventor: Randy K. Young

A BROADBAND MODULATION/DEMODULATION APPARATUS AND A For:

METHOD THEREOF

**If a CONTINU	ING APPLICATION,	please mark where appr	ropriate:
[V] Cautiumatian	[] Divisional [] Cont	timustion In Part (CID)	

		[] Continuation-In-Part	(CIP)
of prior application	on Serial No. (09/725 ,8 3 ⁻ herein.	
	6	10/22x 1000	

Enclosed are:

- [X] 9 sheets of informal drawings
- [X] Unsigned Combined Declaration and Power of Attorney (2 pages)
- Copy of signed Combined Declaration and Power of Attorney (__ pages) from a prior application (1.63(d))(for continuation/divisional)
- [] Signed statement deleting inventor(s) named in prior application (pages) (1.63(d)(2) and 1.33(b))
- [] Incorporation By Reference: The entire disclosure of the prior application is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.

[]	Assignment (pages) of the invention to	
	•		

- [] Assignment Transmittal Letter
- [X] Request And Certification Under 35 U.S.C. § 122(b)(2)(B)(i) (1 page)
- [] Associate power of attorney
- [X] Applicant claims small entity status. (See 37 CFR 1.27.)
- [X] Preliminary Amendment (1 page)



[X] Information Disclosure Statement, form PTO-1449 (2 pages) and 24 references								
[] Copy of the <u>UNSIGNED</u> Combined Declaration and Power of Attorney (2 pages) from a prior application								
[] Verified Star	[] Verified Statement re Sequence Listing w/Diskette							
[X] Return posto	ard							
[] Other:								
The Filing fee ha	is been calculat	ed as shown b	elow:					
	(Col. 1)	(Col. 2)	_	SMALL ENTITY LARGE ENTITY			ITITY	
FOR:	NO. FILED	NO. EXTRA		RATE	FEE	<u>OR</u>	RATE	FEE
BASIC FEE	xxxxxxxx	xxxxxxxx		xxxxx	\$355	<u>OR</u>	xxxxx	\$710
TOTAL CLAIMS	98 - 20 =	78		x 9=	\$702	<u>OR</u>	x 18 =	\$
INDEP CLAIMS	12 - 3 =	9		x 40 =	\$360	<u>OR</u>	x 80 =	\$
[] MULTIPLE DEPENDENT CLAIM PRESENTED $x135=$ \$ OR $x270=$ \$					\$			
*If the Total Claims are less than 20 and Indep. Claims TOTAL \$1417 OR TOTAL \$ are less than 3, enter "0" in Col. 2								
[] Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.								
[X] A check in the amount of \$1,417.00 to cover the filing fee is enclosed.								
[X] The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.								
[] Address all future communications to:								
Gunnar G. Leinberg NIXON PEABODY LLP Clinton Square, P. O. Box 31051 Rochester, New York 14603								

19 2001

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NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603 Telephone: (716) 263-1014 Facsimile: (716) 263-1600

R459727.1



EXPRESS MAIL CERTIFICATE

DOCKET NO.

201009/131 (99-0606)

APPLICANT(S) :

Randy K. Young

TITLE

A BROADBAND MODULATION/DEMODULATION

APPARATUS AND A METHOD THEREOF

Certificate is attached to the Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (1 page) of the above-named application.

"EXPRESS MAIL" NUMBER:

EL709322695US

DATE OF DEPOSIT:

January 19, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Jo Ann Whalen

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

PATENT

Docket No.: 201009/131 (99-0606)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Randy K. Young) Examiner:
) To Be Assigned
Serial No.	: To Be Assigned)
) Art Unit:
Filed	: Herewith) To Be Assigned
	·)
For	: A BROADBAND)
	MODULATION/DEMODULATION)
	APPARATUS AND A METHOD)
	THEREOF)
		•

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Assistant Commissioner for Patents

Washington, D.C. 20231 **Box: Patent Application**

Dear Sir:

The undersigned hereby requests that the attached application not be published under 35 U.S.C. 122(b).

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

No fees are believed to be due. However, please charge Deposit Account No. 14-1138 for any additional fees that may be incurred.

Respectfully submitted,

Date: ______19,2001

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